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Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Application No. Applicant(s) 10/616,770 NONNEMAN ET AL. Office Action Summary Examiner Art Unit SCOTT A. ZARE 3687 -- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --Period for Reply A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS. WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION. Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication. If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). Status 1) Responsive to communication(s) filed on 16 June 2008. 2a) This action is FINAL. 2b) This action is non-final. 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213. Disposition of Claims 4) Claim(s) 33-45.48-56 and 125-137 is/are pending in the application. 4a) Of the above claim(s) is/are withdrawn from consideration. 5) Claim(s) _____ is/are allowed. 6) Claim(s) 33-45, 48-56, 125-137 is/are rejected. 7) Claim(s) _____ is/are objected to. 8) Claim(s) _____ are subject to restriction and/or election requirement. Application Papers 9) The specification is objected to by the Examiner. 10) The drawing(s) filed on is/are; a) accepted or b) objected to by the Examiner. Applicant may not request that any objection to the drawing(s) be held in abevance. See 37 CFR 1.85(a). Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d). 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152. Priority under 35 U.S.C. § 119 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some * c) None of: Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)). * See the attached detailed Office action for a list of the certified copies not received. Attachment(s)

1) Notice of References Cited (PTO-892)

Notice of Draftsperson's Patent Drawing Review (PTO-948)

information Disclosure Statement(s) (PTO/S5/06)
 Paper No(s)/Mail Date ______.

Interview Summary (PTO-413)
 Paper No(s)/Mail Date.

6) Other:

5) Notice of Informal Patent Application

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DETAILED ACTION

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Claims 33-40, 42-45, and 48-56 are rejected under 35 U.S.C. 103(a) as being unpatentable over Westbury et al. (US 6,873,963, referred hereinafter as "Westbury") in view of Swan et al. (US 6,901,304, referred hereinafter as "Swan") in further view of Boucher et al. (US 2003/0097306, referred hereinafter as "Boucher").

In regard to claim 33, Westbury discloses a system for transporting a package from a sender to a receiver by a carrier, the system comprising:

- a sender computer system (i.e., source 12) comprising:
 - o a processor configured to:
 - transmit object identification data identifying an object, the object variably defined by a sender to identify either a product, a package containing a product, or a group of packages containing products

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(see column 3, line 64 – column 4, line 10, disclosing a "part number"); and

- · a carrier computer system (i.e., tracking system 20) comprising:
 - a processor configured to:
 - receive the object identification data (see column 3, line 64 column 4, line 10, disclosing "part number");
 - receive package identification data (see column 3, line 64 column
 4, line 10, disclosing a "unique package number");
 - receive event data that is generated as the object passes through
 at least one portal (see column 4, lines 25-65, disclosing "carrier 14
 issues an electronic document to tracking system 20" which include
 information such as "departure and arrival times");
 - store the object identification in association with the package
 identification data and the event data in association with the
 package identification data (see column 5, lines 13-16, disclosing
 "tracking system 20 loads the information provided by all
 commodity information and all the carrier shipment notifications into
 a standard database"):
 - transmit the data to a second computer system (see column 3, lines 40-50, and FIG. 1, disclosing "Manufacturer 16").

Westbury does not disclose wherein the at least one portal has at least one scanner.

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Swan discloses a portal with a scanner. (See column 4, line 50 - column 5, line 10.)

It would have been obvious to one of ordinary skill in the art at the time of the invention to modify Westbury to include wherein the portal has a scanner because scanners would allow the system to read information about the items at the portal and "maintain disposition information about the items, which is made visible to enterprises in the supply chain." (See column 1, under "SUMMARY".)

Also, while Westbury discloses that the data can be read and used by computer systems implementing various data formats, protocols, and applications (see column 5, lines 15-48, disclosing "all commodity information and all the carrier shipment notifications [are loaded] into a standard database" to generate "the supply shipment report"), it does not specifically show tagging the object identification data, the package identification data, and the corresponding event data.

Boucher discloses tagging data so it can be read and used by computer systems implementing various data formats, protocols, and applications. (See paragraph 35, disclosing "specific data tags.")

It would have been obvious to one or ordinary skill in the art to modify Westbury to include data tags because "data tags would be required to describe output data in a complete form." (See paragraph 35.)

In regard to claim 34 (dependent on claim 33), Westbury further discloses wherein the object is the product. (See column 4, disclosing "supplier goods")

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In regard to claim 35 (dependent on claim 33), Westbury further discloses wherein the object is the package. (See column 4, disclosing "shipment")

In regard to claim 36 (dependent on claim 33), Westbury does not disclose wherein the object is the group of packages. Swan discloses wherein the object is the group of packages. (See column 4, lines 20-30.)

It would have been obvious to one of ordinary skill in the art to modify Westbury to include wherein the object is the group of packages because that would allow "data structures and persistent storage 202 records" to "maintain[] a representation of the relationship, state, and history of logical and physical items tracked by the [system]."

In regard to claim 37 (dependent on claim 33), Westbury does not discloses wherein the object is a parent that contains at least one child object. Swan discloses wherein the object is a parent that contains at least one child object having respective object identification data. (See column 4, lines 20-30.)

It would have been obvious to one of ordinary skill in the art to modify Westbury to include wherein the object is a parent that contains at least one child object because that would allow "data structures and persistent storage 202 records" to "maintain[] a representation of the relationship, state, and history of logical and physical items tracked by the [system]."

In regard to claim 38 (dependent on claim 33), Westbury further discloses wherein the processor of the sender computer system is further configured to:

> transmit the package identification data to the carrier computer system. (See column 3, line 64 – column 4, line 10);

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In regard to claim 39 (dependent on claim 33), Westbury does not disclose wherein the package identification data is provided by the scanner of the first portal to encounter the package as the carrier transports the package from sender to receiver.

Swan discloses wherein package identification data is provided by the scanner of the first portal to encounter the package as the carrier transports the package from sender to receiver. (See column 11, line 54 – column 12, line 30.)

It would have been obvious to one of ordinary skill in the art to modify Westbury to include wherein the package identification data is provided by the scanner of the first portal to encounter the package as the carrier transports the package from sender to receiver to so that the system is could "provide for periodic updates of location. (See column 12, line 56.)

In regard to claim 40 (dependent on claim 33), Westbury does not disclose wherein the scanner comprises an optical scanner that scans the package identification data from a shipping label attached to the package. Swan discloses wherein the scanner comprises an optical scanner that scans the package identification data from a shipping label attached to the package. (See column 4, line 65.)

It would have been obvious to include wherein the scanner comprise an optical scanner that scans the package identification data because an optical scanner is one of many technologies that could be used to read information from a tagged item. (See column 4.)

In regard to claim 42 (dependent on claim 41), Westbury does not disclose wherein the scanner comprises an electromagnetic scanner. Swan discloses wherein

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the scanner comprises an electromagnetic scanner scanning at least the object identification data from the product inside of the package. (See column 4, line 60 – column 5, line 10.)

It would have been obvious to one of ordinary skill in the art to modify Westbury to include wherein the scanner comprises an electromagnetic scanner because that would allow a tag to be read "without physical contact between the tag and the reader." (See column 4.)

In regard to claim 43 (dependent on claim 42), Westbury does not disclose wherein the object identification data is encoded in a radio frequency identification (RFID) tag scanned by the electromagnetic scanner. Swan teaches wherein the object identification data is encoded in a radio frequency identification (RFID) tag scanned by the electromagnetic scanner. (See column 4, line 60 – column 5, line 10.)

It would have been obvious to one of ordinary skill in the art to modify Westbury to include wherein the object identification data is encoded in a RFID tag scanned by the electromagnetic scanner as taught by Swan because that would allow a tag to be read "without physical contact between the tag and the reader." (See column 4.)

In regard to claim 44 (dependent on claim 33), Westbury further discloses wherein the portal is associated with an event related to the status of the package in route from the sender to the receiver within the transport and storage network of the carrier. (See column 4, lines 25-65.)

In regard to claim 45 (dependent on claim 33), Westbury discloses wherein the portal is located at one of sender's location. (See column 4 lines 25-65.)

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In regard to claim 48 (interpreted to be dependent on claim 33), Westbury further discloses wherein the event data includes description data associated with the event. (See column 4, e.g., "ETA".) Westbury further describes the event by using the identify of at least one of the scanner and portal (in this case the portal) reporting the package identification data to the carrier computer system. (See column 4, lines 25-45.)

In regard to claim 49 (dependent on claim 48), Westbury discloses wherein the description data comprises characters describing the event as at least one of "package pick up," "package received at pickup distribution hub," "package exited pickup distribution hub," "package exited pickup distribution hub," "package on long-haul transport," "package off long-haul transport," "package arrived at receive distribution hub," "package exited receive distribution hub," and "package delivered." (See column 4, lines 25-45, disclosing "departure and arrival times.") It should further be noted that the specific quoted limitations in claim 49 are merely nonfunctional printed matter. USPTO personnel need not give patentable weight to printed matter absent a new and unobvious functional relationship between the printed matter and the substrate. See In re Lowry, 32 F.3d1579, 1583-84, 32 USPQ2d 1031, 1035 (Fed. Cir. 1994); In re Ngai, 367 F.3d1336, 70 USPQ2d 1862 (Fed. Cir. 2004). Thus, the limitations are given no patentable weight.

In regard to claim 50 (interpreted to be dependent on claim 33), Westbury further discloses wherein the event data comprises location data indicating a location at which the event occurred. (See column 4, lines 25-45, disclosing "pickup location.")

In regard to claim 51 (dependent on claim 50), Westbury further discloses wherein the processor of the carrier computer system is further configured to:

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 determine the location at which the event occurred based on data identifying at least one of the scanner and portal received with the package identification data. (See column 4, lines 25-45.)

In regard to claim 52 (dependent on claim 50), Westbury does not disclose a scanner. Swan discloses the scanner, wherein the scanner generates location data indicating a location at which the event corresponding to the event data occurred, the location data included with the package identification data reported by the scanner.

Swan discloses a portal with a scanner which generates location data indicating a location at which the event corresponding to the event data occurred. (See column 4, line 50 - column 5, line 10.)

It would have been obvious to one of ordinary skill in the art at the time of the invention to modify Westbury to include wherein the portal has a scanner because scanners would allow the system to read information about the items at the portal and "maintain disposition information about the items, which is made visible to enterprises in the supply chain." (See column 1, under "SUMMARY".)

In regard to claim 53 (depending on claim 52), Westbury discloses wherein the location includes at least the sender's location. (See column 4 lines 25-65.)

In regard to claim 54 (dependent on claim 33), Westbury discloses wherein the event data comprises data indicating date and time at which the event occurred. (See column 4 lines 25-65.)

In regard to claims 55-56 (dependent on claim 54), Westbury discloses wherein the processor of the carrier computer system is further configured to:

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 timestamp the received package identification data with date and time data and store the same in association with the package identification. (See column 4 lines 25-65)

Westbury does not disclose wherein the package identification data is received from the scanner. Swam discloses wherein the package identification data is received from the scanner. (See column 4, line 50 - column 5, line 10.)

It would have been obvious to one of ordinary skill in the art at the time of the invention to include wherein the package identification data is received from the scanner because that would allow a tag to be read "without physical contact between the tag and the reader." (See column 4.)

In regard to claim 56 (dependent on claim 54), Westbury does not disclose wherein the scanner timestamps the package identification data and transmits the same to the carrier computer system. However, Westbury does disclose receiving the package identification data and transmitting the package identification information to the carrier computer system. (See column 4.)

Swam discloses wherein the package identification data is time stamped by the scanner. (See column 4, line 50 - column 5, line 10 and column 14, lines 5-12, disclosing "timestamp.")

It would have been obvious to one of ordinary skill in the art at the time of the invention to include wherein the package identification data is received from the scanner because that would allow a tag to be read "without physical contact between the tag and the reader." (See column 4.)

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Claims 41 is rejected under 35 U.S.C. 103(a) as being unpatentable over Westbury et al. (US 6,873,963, referred hereinafter as "Westbury") in view of Swan et al. (US 6,901,304, referred hereinafter as "Swan") in further view of DeVries et al. (US 2003/0009396, referred hereinafter as "DeVries").

In regard to claim 41 (dependent on claim 40), Westbury in view of Swan does not disclose wherein the package identification data is in the form of a barcode scanned by the optical scanner. (See column 4.)

DeVries discloses wherein the package identification data is in the form of a barcode scanned by the optical scanner. (See paragraph 147.)

It would have been obvious to one of ordinary skill in the art at the time of the invention to modify Westbury to include wherein the package identification data is in the form of a barcode scanned by the optical scanner as taught by DeVries so that "it is not necessary to input data into a PC," thus making the operation "more efficient." (See paragraph 147.)

Claims 125-133 are rejected under 35 U.S.C. 103(a) as being unpatentable over Westbury et al. (US 6,873,963, referred hereinafter as "Westbury") in view of Swan et al. (US 6,901,304, referred hereinafter as "Swan") in further view of Examiner's Official Notice

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In regard to claim 125 (dependent on claim 33), Westbury in view of Swan discloses a system further comprising:

A second computer system comprising:

a processor configured to:

 receive the tagged data from the carrier computer system (see column 3, lines 35-50, disclosing three links so that the tracking system can communicate with three computer systems, namely the supplier 12, carrier 14, and manufacturer 26);

However, Westbury in view of Swan does not disclose:

a processor configured to:

 retrieve a corresponding network address of a third computer system; and

transmit the network address to the carrier computer system.

Examiner takes Official Notice that it is notoriously old and well-known in the art of computer networking to retrieve a network address of a computer system and transmit the network address to a second computer system.

It would have been obvious to one of ordinary skill in the art at the time of the invention to modify Westbury to include to retrieve a network address of a third computer system and transmitting the network address to the carrier computer system because that would allow third parties to receive updated information regarding the packages in transmit.

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In regard to claim 126 (dependent on claim 125), Westbury further discloses a system further comprising:

- A third computer system (see column 3, lines 35-50, disclosing three links so
 that the tracking system can communicate with three computer systems,
 namely the supplier 12, carrier 14, and manufacturer 26; see also column 7,
 line 50 column 8, line 25) corresponding to the network address comprising:

 a processor configured to:
 - receive and store the tagged data (see column 3, lines 35-50; see also column 7, line 50 - column 8, line 25);
 - receive a request from one or more other computer systems of the sender, carrier, receiver, or supplier for the tagged data (see column 3, lines 35-50; see also column 7, line 50 - column 8, line
 25) and
 - to retrieve and transmit the tagged data that can be read and used by computer systems implementing various data formats, protocols, and applications (see column 3, lines 35-50; see also column 7, line 50 - column 8, line 25).

<u>Claims 127-133</u> are directed to a system which recites no new elements as those already presented and rejected. Thus, these elements are each rejected on a similar basis.

Claims 134-137 are rejected under 35 U.S.C. 103(a) as being unpatentable over Westbury in view Swan in further of Boucher in further view of Official Notice.

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In regard to claims 134 and 137, Westbury in view of Swan discloses a system wherein the third computer system transmitting object identification data, the package data, the event data and the tagged data to a fourth computing system comprising a processor configured to utilize the object identification data, the package data and the event data in one or more applications of the fourth computing system.

Westbury in view of Swan fails to disclose:

- transmitting a network address to the carrier computer system wherein the processor of the carrier computer system utilizes the network address.
- tagged data, wherein the tagged data is used to incorporate other data in one
 or more applications of the fourth computing system.

Boucher discloses tagging data so it can be read and used by computer systems implementing various data formats, protocols, and applications. (See paragraph 35, disclosing "specific data tags.")

It would have been obvious to one or ordinary skill in the art to modify Westbury to include data tags because "data tags would be required to describe output data in a complete form." (See paragraph 35.)

Westbury in view of Swan in view of Boucher fails to teach:

Examiner takes Official Notice that it is notoriously old and well-known in the art of computer networking to transmit a network address to the carrier computer system wherein the processor of the carrier computer system utilizes the network address in different computer system.

It would have been obvious to one of ordinary skill in the art at the time of the invention to modify Westbury to include to retrieve a network address of a carrier computer system and transmitting the network address to a fourth computer system because that would allow third parties to receive updated information regarding the packages in transmit.

In regard to claims 136-137, Boucher further discloses where the tagged data is in an extensible markup language format. (See paragraph 35.)

Response to Arguments

The Non-Final Rejection was mailed 04/30/2008. Applicant's has responded by submitting amendments to the claims and adding additional claims 134-137 on 06/16/2008. In addition, Applicant has submitted arguments/remarks in response the Non-Final Rejection.

Claim Objections

Claims 48 and 50 dependency issues have been corrected and the objection is consequently withdrawn.

Claim Rejections - 35 USC § 112

Claims 33, 42, and 125 have been amended to conform with the second paragraph of 35 USC \$112. Thus, the rejections have been withdrawn.

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Claim Rejections - 35 USC § 103

The rejections under 35 USC §103 have been traversed. Applicant argues that the combination of Westbury, Swan, and Boucher to not teach or suggest all of the features applicant's claimed method. Specifically, in regard to claim 33, Applicant argues that Westbury, Swan, or Boucher do not disclose the following element:

stor[ing] the object identification data in association with the package identification data and the event data in association with the package identification data.

Applicant acknowledges that the Examiner relied on Westbury to disclose a "part number" as corresponding to the claimed object identification data, a "unique package number" as corresponding to the claimed package identification data, and an "electronic document" as corresponding to the claimed event data. (See Remarks/Arguments, pg. 26 of 31, dated 06/16/2008.) However, Applicant argues that while these individual data types may be taught by Westbury, Westbury does not disclose the specific associations as recited in claim 33 (e.g., storing object identification data in association with package identification data, storing event data in association with package identification data).

This issue turns on the interpretation of "in association." It should again be noted that "during patent examination, the claims are given the broadest reasonable interpretation consistent with the specification." MPEP §904.01 and §2111, citing *In re Morris*, 127 F3d 1048 (Fed. Cir. 1997). In addition, "limitations appearing in the specification but not recited in the claim should not be read into the claim. See MPEP §2106, citing *E-Pass Techs., Inc. v. 3Com Corp.*, 343 F.3d 1364, 1369, 67 USPQ2d 1947, 1950 (Fed. Cir. 2003). Thus, under its broadest reasonable interpretation, "in

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association with" has been construed to simply mean that the data has some logical relationship with one another. Westbury discloses that all the collected information is organized in the form of an electronic document and collectively refers to all the data collected (including object identification data, package identification data and event data) as a "set of commodity information." (See column 5, line 17.) Furthermore, Westbury further explains:

all the information contained within specific commodity information can be combined with all the information contained within a carrier shipment notification to generate once accurate piece of information, hereinafter referred to as the supply shipment report, containing accurate information about specific supplier goods. The tracking system can match one or all of these criteria to obtain a match.

(See column 5, lines 41-48). Thus, in Westbury, all the information is associated with one another for each shipment. Thus, based on this language along with the aforementioned interpretation of Applicant's claim language (i.e., "some logical relationship), Applicant's argument is without merit.

Secondly, Applicant questions Examiner's reliance on Swan to disclose "at least one portal has at least one scanner" and Examiner's reliance on Boucher to disclose "tagging data." In regard to Swan, while Applicant mentions Examiner's reliance on Swan, Applicant fails to specifically point out any reason why Swan fails to disclose said feature of wherein "at least one portal has at least one scanner". In regard to Boucher, Applicant argues that Boucher fails to disclose "tagging object identification data, package identification data, and event data." In regard to this argument, it should be noted that Westbury already discloses associating object identification data, package identification data and event data. Consequently, Boucher is merely used as a

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reference to teach tagging data so it can be read and used by computer systems implementing various data formats, protocols, and applications. (See paragraph 35, disclosing "specific data tags.") It would have been obvious to one or ordinary skill in the art to modify Westbury to include data tags because "data tags would be required to describe output data in a complete form." (See paragraph 35.) Thus, this argument is also without merit.

Examiner's Assertion of Official Notice

To adequately traverse a factual assertion that is officially noticed, an applicant must specifically point out the supposed errors in the examiner's action, which would include stating why the noticed fact is not considered to be common knowledge or well-known in the art. See 37 CFR 1.111(b). See also *Chevenard*, 139 F.2d at 713, 60 USPQ at 241 ("[I[n the absence of any demand by appellant for the examiner to produce authority for his statement, we will not consider this contention.") A general allegation that the claims define a patentable invention without any reference to the examiner's assertion of official notice is inadequate. See MPEP §2144.03(C). Because applicant has failed to traverse the examiner's assertion of official notice the following notoriously old and well-known in the art statements are taken to be admitted prior art:

 retrieving a corresponding network address of a fourth computer system and transmitting the network address to the carrier computer system.

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Furthermore, notwithstanding Applicant's failure to adequately traverse Examiner's taking of Official Notice, the following reference teaches the disputed feature:

Bengston, US 2002/0049781

Conclusion

THIS ACTION IS MADE FINAL. Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to SCOTT A. ZARE whose telephone number is (571)270-3266. The examiner can normally be reached on Monday - Friday, 8:00 a.m. - 5:00 p.m., EST.

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If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Matt Gart can be reached on (571) 272-3955. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Matthew S Gart/ Supervisory Patent Examiner, Art Unit 3687

Scott A. Zare Art Unit 3687 October 15, 2008